Liquid Capital Statement

for the month of **31-OCT-16**

of Ms. ShajarPak Securities (Pvt) Ltd

Submission Date 11-NOV-2016 15:09:09

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espective securities whichever is higher. 3,460,288 3,460,288 1.6 Investment in subsidiaries 0 0 0 0 0 0 0 0 0	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
10 property & Equipment 1.2 integrine Austrs 1.2 integrine in Control Securities (15000°99) 1.2 integrine in Control Securities (15000°99) 1.3 investment in Debt. Securities (15000°99) 1.4 investment in Debt. Securities (15000°99) 1.5 of the both of the Securities (15000°99) 1.6 of the Securities (15000°99) 1.7 of the Securities (15000°99) 1.8 of the Securities (15000°99) 1.9 of the Securities (15000°99) 1.0 of the Securities (15000°99) 1.0 of the Securities (15000°999) 1.0 of the Securities (15000°9999) 1.0 of the Securities (15000°99999) 1.0 of the Securities (15000°99999) 1.0 of the Securities (15000°9999999) 1.0 of the Securities (15000°999999999) 1.0 of the Securities (15000°999999999999) 1.0 of the Securities (15000°9999999999999999999999999999999999	1	Assets			
1.2 Intrangille Assett	1.1		6,267,448	6,267,448	
Investment in Debt. Socurities	1.2		6,265,493	6,265,493	
If listed them: Short the balance sheet value in the case of fenure upto 1 year. Short the balance sheet value in the case of fenure upto 1 year. If the			0	0	
25% of the balance sheet value, in the case of fenue upto 1 years 0 0 0 0 0 0 0 0 0	1.4				
E. 7.2% of the belance sheet value, in the case of tenue from the hardyses. 0 0 0 1 10 10 10 10			0	0	
If unlisted than:		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.0% of the balance sheet value, in the case of tenue gulo 1 year.			0	0	
iii. 125% of the balance sheet value, in the case of tenue from 1-3 yeas. iii. 15% of the balance sheet value, in the case of tenue from than 3 yeas. 0			0	0	
III 15% of the bislance sheet value, in the case of tenue of more than 3 years.		ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
If listed 15% or Valk of each securities on the cutoff date as computed by the Securities Exchange for sespective securities witchever is higher.		iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	
espective securities whichever is higher. 3,460,288 3,460,288 1.0 investment in subsidiaries 0 0 0 0 0 0 0 0 0	1.5		10 101 710	0.000.700	44 400 040
iii furnisted, 10% of carrying value. 3,460,286 3,460,286 1.6 Investment in subsidiaties 0 0 0			13,484,718	2,022,708	11,462,010
Investment in associated companies fundertaking		ii. If unlisted, 100% of carrying value.	3,460,298	3,460,298	
If I listed 20% or Valk of seach securities as computed by the Securities Exchange for respective securities whichever is higher in the first provided provided by the Securities Exchange for respective securities on the first provided			0	0	
whichever is higher. Ii. If unlisted. 109% of net value. 1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository 400,000 400,000 or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposits with exchange and clearing house. 2.00,000 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	1.7		0	0	
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or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 2.3,000 2.3,000 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 2.3,000 2.3,000 1.13 Diddends receivables 1.10 Amounts receivable against Repo financing. Amounts receivable against repositions of the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 Receivables form clearing house or securities exchange(s) 1.16 Receivables from clearing house or securities exchange(s) 1.17 Receivables from clearing house or securities in all markets including MtM gains. 1.18 Receivables from customers 1.19 Receivables from customers 1.10 Receivables from customers 1.10 Receivables from customers 1.11 Receivables from customers 1.12 Receivables from customers 1.13 In Incase receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 1.10 Receivables from customers are against margin trading, 5% of the net balance sheet value. 1.12 Receivables are against responsited as collateral after applying VAR based haircut. 1.12 Receivables are against responsited as collateral profit or the responsibility of the net balance sheet value. 1.12 Receivables are against responsited as collateral profit	1.0				
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iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts viii. Cash in hand 1.18 Total Assets 61,683,215 18,943,549 42,4 2 Liabilities 1. Payable to exchanges and clearing house i. Payable against leveraged market products o 0 0 1. Tade Payable securities are overdue, or 5 days or more, the aggregate of (i) the market 309,119 198,747 198,7			1 210 404	0	1,210,494
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand 1,274 0 1.19 Total Assets 61,683,215 18,943,549 42,4 Liabilities I. Payable to exchanges and clearing house i. Payable against leveraged market products 0 0 0 0		iv. Balance sheet value	1,210,434		
1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 4,774,499 0 4,7 ii. Bank balance-customer accounts 20,445,308 0 20,4 iii. Cash in hand 1,274 0 1.19 Total Assets 61,683,215 18,943,549 42,4 2 Liabilities 1		value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of	309,119	198,747	198,747
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iii. Cash in hand					
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2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products 0 0 0 0			61,683,215	18,943,549	42,828,041
i. Payable to exchanges and clearing house 0 0 0 ii. Payable against leveraged market products 0 0 0					
ii. Payable against leveraged market products 0 0	2.1			0	
	2.0	iii. Payable to customers	24,981,017	0	24,981,017
2.2 Current Liabilities i. Statutory and regulatory dues 0 0	2.2				

Liquid Capital Statement

for the month of **31-OCT-16**

of Ms. ShajarPak Securities (Pvt) Ltd

Submission Date 11-NOV-2016 15:09:09

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	iii. Short-term borrowings	0	0	
	iv. Current portion of subordinated loans	0	0	
	v. Current portion of long term liabilities	0	0	
	vi. Deferred Liabilities	0	0	
	vii. Provision for bad debts viii. Provision for taxation	0	0	
	ix. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.3	Non-Current Liabilities			
	i. Long-Term financing	0	0	
	ii. Staff retirement benefits iii. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.4	Subordinated Loans	0	0	
	i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted	0	0	
	ii. Subordinated Ioans which do not fulfill the conditions specified by SECP	0	0	
2.5	Total Liabilites	25,876,241	0	25,876,241
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.	0	0	
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:	0	0	
	(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(ii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	0	0	
	price;	_	·	
	the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
2.4	(b) in any other case : 12.5% of the net underwriting commitments	0	0	
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	0	0	
	exceed the total liabilities of the subsidiary	U	U	
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total	0	0	
2.4	assets denominated in foreign currency less total liabilities denominated in foreign currency		0	
	Amount Payable under REPO Repo adjustment	0	0	
0.7	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market	0	0	
	value of underlying securities.	Ŭ	· ·	
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of	0	171,900	171,900
	the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of		,000	,555
	the value of such security			
3.9	Opening Positions in futures and options	•	_	
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities	0	0	
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the	0	0	
2 10	extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of	0	0	
	ii. Incase of customer positions, the market value of snares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the	0	0	
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet	0	0	
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
	Total Ranking Liabilites	0	171,900	171,900
	-	35,806,974	18,771,649	
	Liquid Capital	22,222,011		